# **REAL NUMBERS**

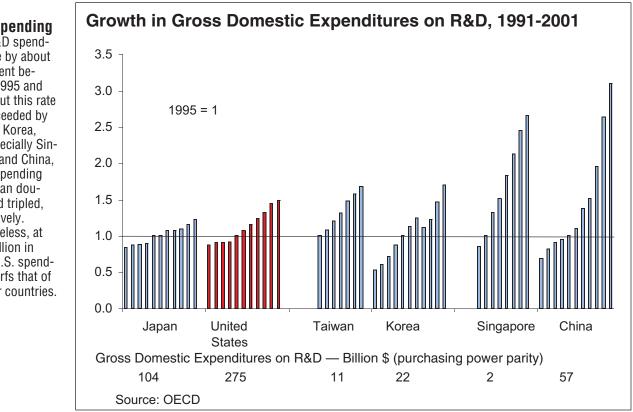
### Asian countries strengthen their research

### Diana Hicks

The global scientific landscape is changing. During the past decade, many governments, convinced that their economic futures lay with knowledge-based economies, sought to strengthen national research and education. Increased foreign scientific competitiveness may be little noticed from within the U.S. research community, whose output still dwarfs that of any other country. Nevertheless, in aggregate these shifts are beginning to have an impact on U.S. research.

Although in many countries cultural and economic barriers still hamper scientific achievement, foreign science policy goals are clear. Thus, hurdles are likely to be overcome, and scientific progress is likely to accelerate. U.S. scientists will face intensified competition for the best students, corporate research support, space to publish in the top journals, and patents. Inevitably, this will reduce the perceived achievements of younger generations of U.S. scientists. Although they will work far harder than previous generations, they will not command the same dominating position in world science as did their predecessors.

The graphs below plot the rate of growth in key science and technology indicators in the United States and a group of science-minded Asian countries: Japan, Taiwan, South Korea, Singapore, China, and India. All the figures illustrate graphically a country's rate of growth relative to a base year. Absolute values for the final year are included numerically below the graph. Although in most cases the absolute values are relatively small, the sometimes astonishing rates of growth deserve careful attention. Data are drawn from the National Science Foundation, CHI Research, and the Organization for Economic Cooperation and Development. A more complete treatment of the subject can be found at www.aaas.org/spp/rd/hicks404.pdf.



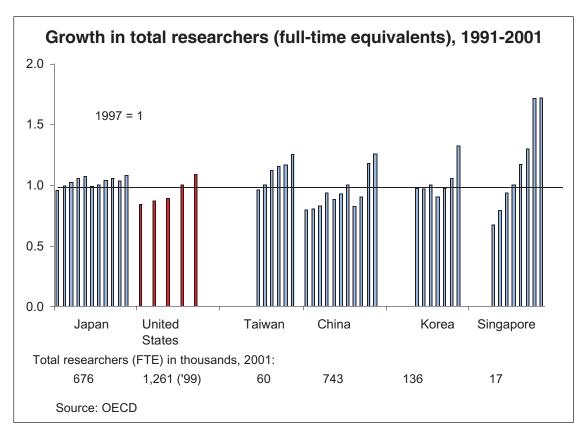
#### R&D spending U.S. R&D spend-

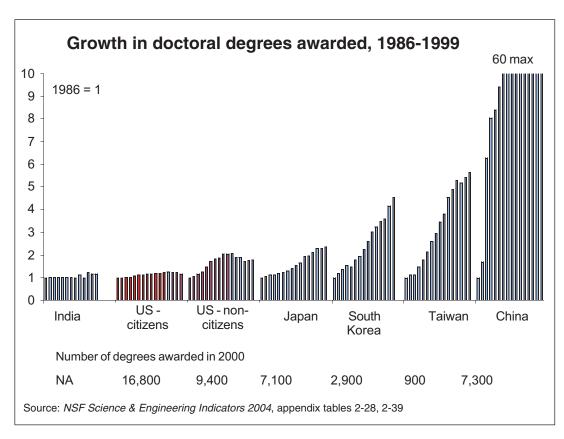
ing rose by about 50 percent between 1995 and 2001. But this rate was exceeded by Taiwan, Korea, and especially Singapore and China, where spending more than doubled and tripled. respectively. Nevertheless, at \$275 billion in 2001, U.S. spending dwarfs that of all other countries.

Diana Hicks (diana.hicks@pubpolicy.gatech.edu) is chair of the Department of Public Policy at the Georgia Institute of Technology in Atlanta, Georgia.



The number of researchers in Asia has grown strongly in recent years, though the total numbers are still small compared to the United States. One interesting research question: To what degree does the recent growth in Asia reflect an increase in home-grown researchers versus the repatriation of researchers from developed countries, including the **United States?** 





# Doctoral degrees awarded

The number of doctoral degrees in China has exploded more than 50-fold since 1986. Although Chinese science education is recognized for its rigor, at the Ph.D. level respect for authority and the spirit of conformity still handicap Chinese science, according to a recent Nature article. In spite of this, the dropoff in the number of non-U.S. citizens awarded degrees in the United States does seem related to the growth in degrees awarded in Asian countries.

## Where do Asian students study for their Ph.D.?

The drop in the number of degrees earned by Asian students in the United States and the difficulty in convincing Americans to pursue Ph.D.s in the sciences pose a potential threat to the vitality of U.S. science.

#### Where do Asian students study for their PhD? 1986-1999 Chinese students South Korean students Taiwanese students 8,000 2,500 1,600 US universities South Korean Chinese 2,000 universities universities 6,000 1,200 1.500 4,000 800 1,000 aiwanese 2,000 US universities 400 US 500 universities universities 0 0 0 1986 1990 1994 1998 1986 1990 1994 1998 1986 1990 1994 1998 Source: NSF Science & Engineering Indicators 2002, appendix table 2-4

#### Growth in number of papers in Science Citation Index, 1986-1999 25 max 20 1986 = 118 16 14 12 10 8 6 4 2 0 United Singapore Taiwan South India Japan China Hong States Korea Kong Number of papers published in 1999 163,500 9.200 47,800 11,700 1,700 5,700 6,700 1,800 Source: NSF Science & Engineering Indicators 2002, appendix table 5.41

### Papers published

Strong growth in Asian output of scientific papers affects the United States, because space is limited in the top journals. If output elsewhere, including Europe. strenathens in quality and quantity faster than the journals grow, U.S. scientists will inevitably experience more rejections of their papers.

# U.S. patents awarded to Asians

These data reveal the maturity of the U.S. system as compared with Asian countries that experienced growth of up to 100 times in the number of patents issued to its inventors since 1986. Again, the United States dominates in the number of patents issued to its inventors. as it should in its own system. However, the assumption that U.S. scientists and engineers will own the key technologies of the future may need to be carefully examined.

